

# Rule-based Approach to Constraint Programming

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## Underlying Thesis

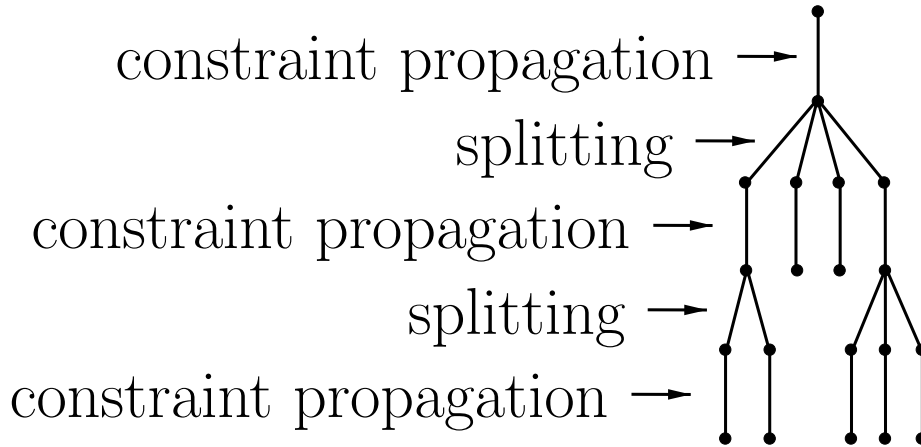
- At various levels of abstraction **constraint programming (CP)** can be viewed as an instance of **rule-based programming**.
- At each level this view **sheds light** on the essence of CP.
- At the highest level it allows us to bring CP closer to the **computation as deduction** paradigm.
- At the lowest level it allows us to address the issues of **efficiency**.

# High Level

## Intuition

- CP is a mix of top-down search and constraint propagation.
- This yields specific **search trees**.
- We separate the issue of the **tree generation** from the **search algorithm** used.  
(remember LP and Prolog?)

# Search Trees



- The nodes in the tree are CSP's.
- The root (level 0) is the original CSP.
- At the even levels the **constraint propagation** is applied to the current CSP.
- At the odd levels **splitting** is applied to the current CSP.
- the '**union**' of the direct descendants of a node is '**equivalent**' to it.

## Search Algorithms: an Example

```
MODULE abstract_branch_and_bound;
PROCEDURE abstract_b_and_b(children: searchtree;
                          VAR sol: CSP; VAR bound: REAL);
BEGIN
  WHILE children[P] <> {} DO
    choose R from children[P];    % 'splitting'
    children[P] := children[P] - {R};
    IF NOT failed(R) THEN
      P := R;
      IF solved(P) THEN
        IF obj(P) > bound THEN
          bound := obj(P);
          sol := P
        END
      ELSE
        P := next(P); % constraint propagation
        IF NOT failed(P) THEN
          IF h(P) > bound THEN
            abstract_b_and_b(children,sol,bound)
          END
        END
      END
    END
  END
END
END
END abstract_b_and_b;
```

```
BEGIN
  sol := NIL;
  bound := -infinity;
  P := next(Pinit); % constraint propagation
  IF NOT failed(P) THEN
    abstract_b_and_b(children,sol,bound)
  END
END abstract_branch_and_bound;
```

## Back to Rule-based Approach

### Proof Theoretic Framework (Apt '98, '03).

- Two types of **rules** that transform CSP's.
- **Deterministic rules:**

$$\frac{\phi}{\psi}$$

- **Splitting rules:**

$$\frac{\phi}{\psi_1 \mid \dots \mid \psi_n}$$

- A rule

$$\frac{\phi}{\psi}$$

is **equivalence preserving** if  $\phi$  and  $\psi$  are equivalent (have the same set of solutions).

- A rule

$$\frac{\phi}{\psi_1 \mid \dots \mid \psi_n}$$

is **equivalence preserving** if the 'union' of  $\psi_i$ 's is equivalent to  $\phi$ .

## Rule Applications

- **Application** of a deterministic rule:  
replace in a CSP the part that matches the premise by the conclusion.
- **Relevant application** of a deterministic rule:  
the outcome is a different CSP.
- A CSP  $\mathcal{P}$  is **closed under the applications of deterministic rule  $R$**  if
  - $R$  cannot be applied to  $\mathcal{P}$or
  - no application of it to  $\mathcal{P}$  is relevant.



## Derivations

Assumed: notions of **failed** and **solved** CSP's.

**Given**: a finite set of proof deterministic rules.

- **Derivation**: a sequence of CSP's s.t. each is obtained from the previous one by an application of a deterministic proof rule.
- A finite derivation is called
  - **successful**: last element is a first solved CSP in this derivation,
  - **failed**: last element is a first failed CSP in this derivation,
  - **stabilising**: last element is a first CSP closed under the applications of the proof rules.

# Computation Trees

- **Application** of a splitting rule (informally): given

$$\frac{\phi}{\psi_1 \mid \dots \mid \psi_n}$$

replace  $\phi$  by some  $\psi_i$ .

- By allowing splitting rules in the derivations we obtain **computation trees**.

## Medium Level

### Intuition

- When deterministic rules are of a **known form**, derivations can be generated more efficiently.
- These derivations correspond then to specific **constraint propagation algorithms**.

## Example 1: Domain Reduction Rules

$$\frac{\langle \mathcal{C} ; x_1 \in D_1, \dots, x_n \in D_n \rangle}{\langle \mathcal{C}' ; x_1 \in D'_1, \dots, x_n \in D'_n \rangle}$$

where  $D'_i \subseteq D_i$  and  $\mathcal{C}'$  is the restriction of  $\mathcal{C}$  to  $D'_1, \dots, D'_n$ .

Such a rule is **monotonic** if

smaller variable domains  $\Rightarrow$  smaller reductions.

**Lemma** Suppose each  $D'_i$  is obtained from  $D_i$  using a combination of

- union and intersection operations,
- transposition and composition operations,
- join operation  $\bowtie$ ,
- projection functions, and
- removal of an element.

Then the rule is monotonic.

**Note** This covers typical constraint solvers (Boolean constraints, linear constraints over integers, arithmetic constraints over reals, ...).

## Generic iteration algorithm

Monotonic domain reduction rules can be scheduled using a **generic iteration algorithm** that computes the lcf of a set of functions  $F$ .

(Benhamou '96, Tellerman, Ushakov '96, Apt '97, Fages et al. '98)

```
 $d := \perp;$   
 $G := F;$   
WHILE  $G \neq \emptyset$  DO  
  choose  $g \in G$ ;  
  IF  $d \neq g(d)$  THEN  
     $G := G \cup \text{update}(G, g, d);$   
     $d := g(d)$   
  ELSE  
     $G := G - \{g\}$   
  END  
END
```

where for all  $G, g, d$

$$\{f \in F - G \mid f(d) = d \wedge f(g(d)) \neq g(d)\} \subseteq \text{update}(G, g, d).$$

## Example 2 : Arc Consistency

$C$ : a constraint on  $x$  and  $y$ .

- *ARC CONSISTENCY 1*

$$\frac{\langle C ; x \in D_x, y \in D_y \rangle}{\langle C ; x \in D'_x, y \in D_y \rangle}$$

where  $D'_x := \{a \in D_x \mid \exists b \in D_y (a, b) \in C\}$

- *ARC CONSISTENCY 2*

$$\frac{\langle C ; x \in D_x, y \in D_y \rangle}{\langle C ; x \in D_x, y \in D'_y \rangle}$$

where  $D'_y := \{b \in D_y \mid \exists a \in D_x (a, b) \in C\}$ .

- **Note** These rules can be scheduled using an **improved** generic iteration algorithm (Apt '00) of which AC-3 is an instance.
- Crucial properties: **commutativity** and **idempotence**.

## Example 3 : Propagation Rules

(Apt, Brand '03, '05)

A general class of rules that includes

$$\frac{B}{C}$$

where  $B, C \subseteq \mathcal{A}$  with  $\mathcal{A}$  a set of given primitive constraints.

**Interpretation:** if all constraints in  $B$  are in the constraint store, then **add** to it all constraints in  $C$ .

## Scheduling of Propagation Rules

- Propagation rules can be scheduled using a more **fine-tuned** scheduler than the generic iteration.

Crucial property: **stability** (generalization of idempotence).

- During the computation this scheduler **permanently removes** some rules from the initial set.

If after splitting we relaunch this scheduler, we can disregard the removed functions.

This leads to an additional gain.

- Special case of propagation rules: **membership rules** (Apt, Monfroy '99, '01).
- On membership rules this scheduler performs substantially better than the CHR scheduler.



## Low Level

Membership rules:

$$\frac{\langle \mathcal{C} ; y_1 \in S_1, \dots, y_k \in S_k, z_1 \in D_{z_1}, \dots, z_m \in D_{z_m} \rangle}{\langle \mathcal{C} ; z_1 \in D_{z_1} - \{a_1\}, \dots, z_m \in D_{z_m} - \{a_m\} \rangle}$$

Shorthand:

$$y_1 \in S_1, \dots, y_k \in S_k \rightarrow z_1 \neq a_1, \dots, z_m \neq a_m.$$

**Example:** Three valued logic of Kleene '52.

Consider  $\text{and3}(x, y, z)$ :

	t	f	u
t	t	f	u
f	f	f	f
u	u	f	u

- $y \in \{u, f\} \rightarrow z \neq t$  is a **valid** (equivalence preserving) membership rule.
- There are 18 minimal valid membership rules.

## Generating Valid Membership Rules

- (Apt, Monfroy '99, '01):  
Given a finite constraint all minimal valid membership rules can be generated.
- These rules define **hyper-arc consistency** (GAC).
- So the fine-tuned scheduler applied to these rules is a **hyper-arc consistency algorithm**.
- (Brand '03, Brand, Apt '05):  
A rule  $r$  is **redundant** if the least common fixpoint is the same with  $r$  removed.  
One can remove from the set of generated valid rules the redundant ones.

## Scheduling of Membership Rules: a Summary

- For finite domain constraints all valid membership rules can be automatically generated (implemented in ECL<sup>i</sup>PS<sup>e</sup> by E. Monfroy).
- Redundant rules can be removed (implemented in ECL<sup>i</sup>PS<sup>e</sup> by S. Brand).
- A fine-tuned scheduler can be used to schedule the rules.
- This scheduler allows us to remove permanently some rules: useful during the top-down search.

## Example

Consider **and11**( $x, y, z$ ) (used in ATPG) with a randomized labeling.

- Generated minimal valid membership rules: 4656.

After removing redundant rules: 393.

- Computation times:

Fine-tuned	Generic	CHR
1874	3321	7615

- Computation times after removing redundant rules:

Fine-tuned	Generic	CHR
157	316	543

## Conclusions

- Rule-based programming provides useful insights into CP.
- At the **high level** it allows us to stress relations between CP and the computation as deduction paradigm.
- At the **medium level** we can focus on efficient scheduling of specific rules.
- At the **low level** specific rules can be automatically generated, optimized and scheduled in a customized way.
- **A challenge**: use this framework to describe syntax-based complete constraint solvers:
  - Gaussian elimination,
  - Gauss–Jordan Elimination,
  - Martelli-Montanari unification algorithm,
  - optimized versions of Fourier elimination.
- **Needed**: a language to describe the order of rule applications.